

Annexure – B

Investor Complaints Data- Stock Broker

Data for every month ending- October - 2022

S. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

S.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1.	April-2021 - March 2022	0	0	0	0
1.	April -2022	0	0	0	0
2.	May -2022	0	0	0	0
3.	June -2022	0	0	0	0
4.	July- 2022	0	0	0	0
5.	August-2022	0	0	0	0
6.	Sept -2022	0	0	0	0
7.	Oct -2022	0	0	0	0
8.	Nov -2022				
9.	Dec -2022				
10.	Jan- 2023				
11.	Feb-2023				
12.	March-2023				
	Grand Total	0	0	0	0

Trend of annual disposal of complaints

S.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1.	2017-18	0	0	0	0
2.	2018-19	0	0	0	0
3.	2019-20	0	0	0	0
4.	2020-21	0	0	0	0
5.	2021-22	0	0	0	0
6.	2022-23	0	0	0	0
	Grand Total	0	0	0	0